

# Analysis Pattern Definition in the UML

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## ABSTRACT

*We identify the UML diagrams and elements that must be used to define an analysis pattern and we explain how analysis patterns defined in this way can be used in the context of the Unified Process. Our proposal is illustrated by means of an example aimed at modelling a generic sports competition.*

## 1. INTRODUCTION

A pattern identifies a problem and provides the specification of a generic solution to that problem. The use of patterns in software development increases reusability of software components and reduces errors of the software delivered. Patterns can be used at each stage of the software development process. Thus, we distinguish among analysis, architectural, design and language patterns.

A *design pattern* [GHVJ95] describes the structure of the solution to a problem that appears repeatedly during software design and the interaction between the different components involved in the solution. Therefore, a design pattern is domain-independent since it is applicable to any software system, provided that the problem addressed by the pattern is encountered during the design of that system.

The analysis model constitutes a permanent model of the reality in itself and, as such, it is independent of a particular implementation technology [Pre00, Mac01]. Therefore, an *analysis pattern* must describe both the structural and dynamical properties of a basic, generic, application domain as perceived by the system user. In this sense, an analysis pattern is application dependent since its semantics describes specific aspects of some domain or software system [Fer98].

The general structure provided by an analysis pattern can be used to define several software systems sharing the features described by the pattern. Developing a particular system applicable to a specific application domain corresponds to adapt the pattern to take the specific aspects of the domain into account.

Analysis patterns provide several advantages to software development. First, they reduce the costs of information systems development because of the reuse of existing solutions. Second, they speed up the development of concrete analysis models that capture the main requirements of a generic application domain. Third, they improve the quality of analysis models by favouring reusability and reducing software errors.

Although some authors like [Fer98, Fow99, FY00] have used the UML to show examples of analysis patterns, it does not exist yet, as far as we know, a precise statement of the UML diagrams that must conform an UML analysis pattern. This is the main goal of this paper: to determine the UML diagrams that must be specified to define an UML analysis pattern.

Moreover, we identify some UML elements that may not be present in these diagrams to ensure we develop an analysis model and we explain how our analysis patterns can be used according to the Unified Process [JBR99, Lar02]. Our proposal is illustrated by means of an analysis pattern that models a generic sports competition.

## 2. ANALYSIS PATTERNS AND THE UML

Unfortunately, we do not find a clear agreement regarding the kind of patterns that can be defined at the analysis level of information systems development. For instance, [Fow97] proposes analysis patterns that define appropriate

solutions to model specific constructs that may be found during the specification of different information systems. On the other hand, [FY00] proposes patterns that define a conceptual model for a single information system domain.

In fact, we may distinguish two different approaches regarding the definition of patterns at the analysis stage of the software development process: conceptual modelling patterns and analysis patterns. A *conceptual modelling pattern* is aimed to represent a specific structure of knowledge (for instance a Part-Of relationship) that we encounter in different domains. An *analysis pattern* specifies a generic, domain-dependent, knowledge required to develop an application for specific users.

Our notion of analysis patterns coincides with that of [FY00]. [Fow97] patterns correspond more to conceptual modelling patterns, according to our terminology.

Unfortunately, previous work does not provide, to our knowledge, a sufficient proposal to define analysis patterns in the UML. For instance, [Fer98, p. 37] states that "an analysis pattern is a set of classes and associations that have some meaning in the context of the application" but their examples are illustrated not only by means of class diagrams, as we could expect from the previous definition, but also with state and sequence diagrams.

Later on, [Fow99] develops UML versions of analysis patterns that appear in some chapters of [Fow97]. However, only class diagrams are translated into the UML and, unfortunately, no discussion is given about which of the several UML diagrams should be used to define analysis patterns in this language.

More recently, [FY00, p. 184] states that "a semantic analysis pattern is a pattern that describes a small set of coherent use cases that together describe a basic generic application". It provides some examples of analysis patterns described with analysis class diagrams, state diagrams, sequence diagrams, etc. However, their sequence diagrams specify object interaction and this can only be done if responsibilities are assigned to objects during the analysis stage. Taking this decision involves design and technological issues and, in this way, it is not possible to define an analysis model which is technologically independent.

## 3. DEFINITION OF ANALYSIS PATTERNS IN THE UML

According to our previous definition, we view an analysis pattern just as a conceptual schema (an analysis model) of a generic application. The UML includes nine types of diagrams to represent different parts of the system [BRJ99]. However, some of them are not useful to define an UML analysis model since they address technological issues. For instance, a deployment diagram shows the configuration of run-time processing nodes and, thus, it is not independent of a particular implementation environment. For similar reasons, object, activity and component diagrams can be discarded to define analysis patterns in the UML.

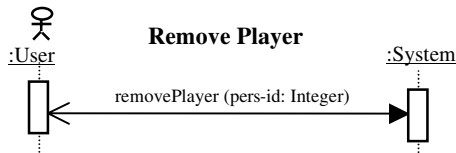
Therefore, an analysis pattern should be defined in terms of use case diagrams, class diagrams, interaction diagrams and statechart diagrams. However, each of these diagrams may be defined at different stages of the development process and the way they are defined (and also the UML constructions used to define them) depends on the particular stage we are involved in. For this reason, the definition of analysis patterns in the UML requires a clear statement of the boundary between analysis and design.



### 3.3 System Interaction Diagrams and Operation Contracts

When the system behaviour is specified as a “black-box”, it does not make sense to specify the interaction among objects to fulfil a given functionality but just to specify the interaction among the external actors and the system regarded as such “black-box”. This is why we talk about *system interaction diagrams*.

A system interaction diagram shows the external actors that interact with the system, the system as a ‘black box’, system events that actors generate, their order and the system response. Interaction diagrams may be illustrated either by means of collaboration or sequence diagrams. The following sequence diagram defines the interaction required by the use case NewTeam:



This interaction required to execute newTeam is very simple. In fact, it is enough to specify the name and the city of the team to register a new team.

System interaction diagrams are complemented with operation contracts to precisely specify the system response to the external events. There is a one to one correspondence between events and operations and, therefore, we have to specify an operation contract for each event occurring in a system interaction diagram.

In the UML, an operation contract includes the *signature of the operation*; its *precondition*, i.e., a set of conditions that are guaranteed to be true when the operation is executed; and its *postcondition*, i.e., a set of conditions that hold after the operation execution. The following contract specifies the semantics of the operation newTeam:

**context** System :: newTeam (name: String, city: String)

**post:** t.ocIsNew ( ) and t.ocIsTypeOf (Team) and t.name = name and t.city = city

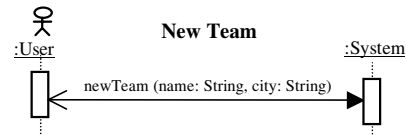
As a consequence of the execution of this operation it happens that an object t of the class Team is created, with attribute values corresponding to the operation parameters name and city. Note that, since we consider that our UML analysis pattern is non-redundant, the previous operation contract must not check that any other team identified by name exists because this is guaranteed already by the textual constraints of the analysis class diagram.

There are several elements that can be used to define operations on the UML but that do not make sense at the analysis level. For each of such elements [OMG01], we briefly justify why this is the case:

- **Assigning operations to classes:** it can only be done if responsibilities are assigned to objects during analysis. However, this decision involves design issues and, therefore, it makes difficult to define an analysis model which is technologically independent. Moreover, it does not make much sense to specify the internal behaviour of an information system when it is regarded as a “black-box”.
- **Operation visibility:** it specifies whether an operation may be invoked by other operations. It involves modelling the internal behaviour of the system and, thus, it does not make sense for analysis patterns. In fact, at this level we assume that all operations are public since all of them can be executed by external actors.
- **Abstract operation:** an operation is abstract if it is not implemented in the class where the operation is defined, i.e. no method for it is provided on that class. Clearly, this issue involves technological considerations and, thus, it does not make sense at the analysis level.
- **Completeness of postconditions:** [Lar02, p. 181] suggests that it is not necessary to specify completely the postcondition of the operation contracts. However, we disagree with this opinion since we think that the behaviour of the system can only be precisely specified if we define a complete set of non-redundant postconditions. Therefore, we believe that an analysis pattern should contain a complete and non-redundant operation contract for each event appearing in a system interaction diagram.

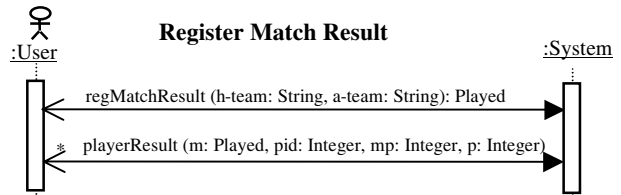
Another important aspect that must be considered during the definition of system interaction diagrams of analysis patterns is the way presentation details are taken into account. In fact, a system interaction diagram describes the basic interaction that an actor must perform to execute a given use case, without going into particular details on how it will be actually performed for a given interface.

As an example, in the following system sequence diagram we do not care about whether the user selects the player to be removed from a list of players or whether he just writes the pers-id of the player on a certain form.



Clearly, presentation details have nothing to do with the concrete semantics of the application. Moreover, different implementations of a particular application semantics may require different presentation details according to the specific preferences of the users of each application. Nevertheless, considering different presentations does not imply any change on the application semantics.

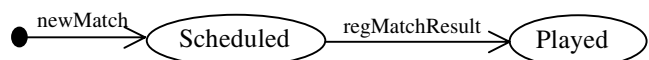
Another example of a more complex system sequence diagram to specify the interaction required by the use case RegisterMatchResult, with the corresponding operation contracts, is:



**context** System :: regMatchResult (h-team: String, a-team: String): Played  
**post:** let m = Match.allInstances -> select (m | m.home = a-team and m.away = a-team) in  
 m.ocIsTypeOf (Played) and result = m  
**context** System :: playerResult (m: Played, p-id: Integer, min: Integer, p: Integer)  
**post:** mp.ocIsNew ( ) and mp.ocIsTypeOf (Match-Player) and  
 mp.min-play = min and mp.points = p and  
 mp.Played = m and mp.Player = (Player.allInstances-> select (p | p.pers-id = p-id))

### 3.4 Statechart Diagrams

Statechart Diagrams illustrate the states of objects and the behaviour of these objects in reaction to an event. An analysis pattern must include a statechart diagram for each object class with an important dynamic behaviour. As an example, the dynamic behaviour of Match may be specified by means of the following statechart diagram:



At the analysis level, statechart diagrams are defined by means of *protocol state machines* [OMG01, 2-170]. Each event appearing in a protocol state machine requires a corresponding operation of the class for which the statechart diagram is defined. Its behaviour is defined by an operation contract instead of the specification of action expressions on transitions.

#### 4. ANALYSIS PATTERNS AND THE UNIFIED PROCESS

The use of our UML analysis patterns does not enter in contradiction with the incremental and iterative nature of the Unified Process [JBR99, Lar02].

The inception phase of the Unified Process involves the identification of relevant use cases, which are specified by means of the use case diagram and the use case definition. Our analysis patterns cover the inception phase since they provide the corresponding use case diagram, while the behaviour stated by the use case definition is provided by means of system sequence diagrams and operation contracts.

During the first iteration of the elaboration phase, system sequence diagrams, the analysis class diagram and operation contracts are developed in the Unified Process. Clearly, this phase is also covered by our patterns since they include the corresponding diagrams.

Statechart diagrams and some concepts of use case diagrams (like relating use cases) or the analysis class diagram (like modelling generalization) of our analysis patterns are usually delayed until the third iteration of the elaboration phase in the unified process (at least in Larman's interpretation of this process [Lar02]).

We can conclude, therefore, that our UML analysis patterns cover the diagrams developed during the inception phase and the first part of the elaboration phase of the unified process. For this reason, given an analysis pattern, we can apply the unified process as usual by assuming that those steps have been performed already. In fact, it would be enough to adapt the pattern to take the specific aspects of the domain where the system is to be developed into account and, then, proceed with the other phases of the unified process.

#### 5. CONCLUSIONS

We have shown that analysis patterns must be defined in the UML by means of a use case diagram, an analysis class diagram, system interaction diagrams with their corresponding operation contracts and statechart diagrams. We have also identified some UML elements that these diagrams may not contain to ensure that an analysis pattern corresponds to an analysis model and we have shown that analysis patterns defined in this way can be used in the context of the Unified Process.

Our proposal has been illustrated by means of a (partial) example aimed at modelling a generic sports competition. Since the general structure provided by an analysis pattern is valid to define several software systems sharing the features described by the pattern, it is enough to adapt this pattern to develop a software system applicable to any specific sports competition.

#### ACKNOWLEDGMENTS

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