

# (Re) Defining Leadership in Higher Education in the U.S.



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## INTRODUCTION

Higher education has become the focus of intense conversations recently, as accountability gained traction in various social, political, and economic circles. The worth of a college degree, rising costs, increasing student debt, relevance of curricula to career readiness, all have been at the forefront of considerations being made with regard to state budget allocations to higher education. The shift to a global society guided by information-driven, post-industrial characteristics (Taylor & Machado-Taylor, 2010), also known as knowledge society (Hargreaves, 2003), has prompted questions as to whether or not college is a commodity (Gutting, 2015). The difference between education and training relates to the transfer of skills, both cognitive and non-cognitive, ethical development, and metacognition (Gutting, 2015). Institutions of higher education face significant changes in their respective contexts as demographics differ from what seemed to support the status quo previously – more students who are identified non-traditional (Taylor & Machado-Taylor, 2010) seek undergraduate and/or graduate degrees. Access to a college education has increased tremendously, along with specialization tracks that provide students seen as consumers a wide range of options for both general education and professional programs. Therefore, moving from a professional bureaucracy to a learning organization (Richards, 2011) would position higher education institutions to manage challenges in increasingly complex, competitive environments (Drew, 2010).

Leadership and management are intertwined. Every leader is managing on some level and a manager is a leader in some areas of responsibility (Monaghan, 2010). In general, a leader is involved with the big picture and a manager is involved with day-to-day operations. Strategic leadership and management focus on analytical data-driven decisions and human dimensions of creating a shared vision that helps the organization compete successfully in their respective fields. Today's information society has particular characteristics that extend some of the leadership principles that evolved into authentic (Cooper, Scandura, & Schriesheim, 2005), distributive (Jones, Lefoe, Harvey, & Ryland, 2012), or visionary (Taylor & Machado-Taylor, 2010) strands. While the business world and higher education represent realms that are significantly different from one another, allowing them to support each other would benefit CEOs and Board members as well as college and university administration in terms of supporting societal change in a convergent manner.

Under these circumstances, the role of strategic leadership and management in higher education appears to be very important in terms of principles, theoretical frameworks, and operational guidelines. Specifically, concepts of strategic leadership and management can be operationalized to account for the contextual factors that help shape them. Such factors might include increased involvement/monitoring of Boards of Trustees/Regents in the day-to-day university operations, defunding of higher education at

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state and local levels, the changing role of labor unions, increasing reliance on part-time faculty, as well as federal level mandates examining student tuition/debt ratio and criteria related to employability of graduates. Of particular interest are the connections that could be established between strategic leadership and management and new leadership concepts such as “ethics and spirituality, collaboration and partnering, empowerment, social change, emotions, globalization, entrepreneurialism, and accountability” (Kezar, Carducci, & Contreras-McGavin, 2006). Based on some of the problems that have plagued higher education leadership implementation (Wang & Berger, 2010), the dimensions of an effective balance between leadership and management in the 21<sup>st</sup> century have to rely on flexibility and adaptability with relation to the various vectors (adapted from Taylor & Machado-Taylor, 2010). In this chapter, we will discuss these vectors including:

1. Societal pressure for change;
2. Accountability;
3. Workplace and workforce dynamics; and
4. Interfacing between the institutional culture and its public image as well as internal and external stakeholder relations.

We will engage in a critical analysis of all these components and suggest a new configuration of strategic leadership in higher education that synchronizes leadership and management to serve the mission and vision of the Academy. Concurrently, this proposed configuration relies on the increasingly important global competence (Brown, Whitaker, & Brungardt, 2012) that is tied to institutional effectiveness and sustainability in today’s complex global village (McLuhan & Powers, 1989). In conclusion, this chapter will focus on the field of strategic leadership in higher education by exploring critically four fundamental questions: Who are the chief strategists and what roles they play in student and organizational success? What role do these individuals play in the continuing development/refinement of strategies? What are the characteristics of successful teams in the development phase of strategic leadership? What are the best tools for evaluating the effectiveness of strategies in maintaining the institutional competitiveness in today’s global knowledge economy?

## **BRIEF HISTORY OF HIGHER EDUCATION IN THE U.S.**

Higher education traces its roots to scholars and teachers engaged in knowledge distribution in Antiquity. Given the prescribed nature of the instructional act, the flow of information has been unidirectional for most of the time. French and Italian centers of formal study inspired English universities in Oxford and Cambridge, which, in turn, supported the foundation of American universities and colleges, such as Harvard (1636), William and Mary (1693), Yale (1701), College of New Jersey (Princeton, 1746), King’s College (Columbia University, 1754), College of Rhode Island (Brown, 1765), Queens College (Rutgers, 1766), and Dartmouth (1769). Along the way, social movements and political initiatives in the U.S. led to increasing access to higher education. Voting rights for women, establishing land-grant universities and Black colleges, developing graduate programs, outlining admission criteria, WWII veterans pursuing a college education when they returned home, the post-WWII baby boom, enrollment and demographic changes, etc. represent critical signposts on the path to today’s state of higher education in the U.S. (Middaugh, 2010; Provenzo Jr., & Baker Provenzo, 2008). The history of schooling in the U.S. has followed a sequence of eras that started with an evolutionary period at the onset of the colonial

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