

# Chapter 3

## Eliciting Policy Requirements for Critical National Infrastructure Using the IRIS Framework

**Shamal Faily**

*University of Oxford, UK*

**Ivan Fléchaïs**

*University of Oxford, UK*

### ABSTRACT

*Despite existing work on dealing with security and usability concerns during the early stages of design, there has been little work on synthesising the contributions of these fields into processes for specifying and designing systems. Without a better understanding of how to deal with both concerns at an early stage, the design process risks disenfranchising stakeholders, and resulting systems may not be situated in their contexts of use. This paper presents the IRIS process framework, which guides technique selection when specifying usable and secure systems. The authors illustrate the framework by describing a case study where the process framework was used to derive missing requirements for an information security policy for a UK water company following reports of the Stuxnet worm. The authors conclude with three lessons informing future efforts to integrate Security, Usability, and Requirements Engineering techniques for secure system design.*

### 1. INTRODUCTION

There is no longer any obvious reason why designing secure and usable systems should be so difficult, especially when guidance on applying Security and Usability Engineering best practice

is no longer restricted to the scholarly literature. Several years ago, Nielsen claimed that cost was the principal reason why Usability Engineering techniques are not used in practice (Nielsen, 1994), but technology advances have reduced the financial costs of applying such techniques.

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Similarly, practical techniques for identifying and mitigating security problems during system design are now available to developers in an easy to digest format (e.g., Schneier, 2000; Swiderski & Snyder, 2004).

Problems arise when considering how to use these approaches as part of an integrated process. Accepted wisdom in software engineering states that requirements analysis and specification activities should precede other stages in a project's lifecycle (Ghezzi et al., 2003). However, Information Security and HCI proponents argue that their techniques should instead come first. For example, ISO 13407 (ISO, 1999) states that activities focusing on the collection of empirical data about users and their activities should guide early design, but security design methods such as Braber et al. (2007) suggest that such stages should be devoted to high-level analysis of the system to be secured. Invariably, the decision of what concern to put first is delegated to the methodology followed by a designer. The designer has many approaches to choose from, some of which include treatment for security or usability concerns. To date, however, no approach treats both security and usability collectively, beyond treating them both as generic qualities contending with functionality.

The IRIS (Integrating Requirements and Information Security) framework was first introduced by the authors in Faily and Fléchais (2009) to explore the challenges of designing systems with both information security and HCI in mind. This framework encompassed three elements: a meta-model for usable secure requirements engineering (Faily & Fléchais, 2010), a user-centered design method (illustrated in Faily & Fléchais, 2010), and complementary tool-support (Faily & Fléchais, 2010). However, although the second element was described as a *method*, this is more aptly defined as a *methodology*. While a method describes a concrete procedure for getting something done, a methodology is a higher level construct motivating the need for choosing between different methods

(Iivari et al., 1998). Because the terms method and methodology are used interchangeably, the principles of information system methodologies have been encapsulated in several process *frameworks* that have, in recent years, emerged in Software, Security, and Usability Engineering. A framework can be defined as a set of milestones indicating when artifacts should be produced, as opposed to a *process* describing the steps to be carried out to produce the artifacts (Haley, 2007).

In this paper, we present the IRIS process framework, which is used for selecting techniques for specifying usable and secure systems. Building on the meta-model described in Faily and Fléchais (2010), we describe the different perspectives of IRIS, and how IRIS concepts and techniques are situated within these in Section 3. We propose a number of exemplar techniques for each perspective, and describe modifications, which are necessary to situate them within an IRIS process. In Section 4, we describe how the IRIS process framework was used to devise a user-centered approach for eliciting information security policy requirements for a UK water company. The management imperative for responding to the Stuxnet worm (Control Engineering UK, 2010) meant that policy decisions needed to be made where there was both a lack of time for data collection and restricted stakeholder availability. Finally, in Section 5, we describe some of the lessons learned carrying out this study, which, we believe, inform future approaches for secure system design.

## **2. RELATED WORK**

Although frameworks exist for dealing with security and usability as quality requirements (e.g., Chung et al., 2004), we are unaware of existing frameworks dealing explicitly with both usability and security from a requirements perspective. There have, however, been processes and frameworks purporting to deal with each.

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